

TABLE 3. Level of Activity in State Financial Assurance Funds 2016

State	Total # of sites eligible for reimbursement		Total UST sites where third-party claims have been paid	# of claims received (if tracked)	# of claims processed (if tracked)	Total # of sites where claims have been paid			Approximate Total Amount Paid						Average Cost Per Site		# of closed State Fund Sites	average claims processing time (months)	# of Claims formally Appealed	
	UST sites	AST sites				UST (federally regulated)	Total UST	Total AST	UST Annual (millions)	UST Total cumulative (millions)	AST Annual (millions)	AST Total cumulative (millions)	UST and AST Annual total (millions)	UST and AST cumulative total (millions)	UST	AST			Annual	Cumulative
Alabama	3,186	60	71	45,335	44,928	2,745	2,745	52	\$36.32	\$524.68	\$1.20	\$9.01	\$37.50	\$533.69	\$191,141	\$173,257	2,410	1	3	260
Alaska*	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	\$31.50	N/A	N/A	N/A	N/A	N/A	N/A
Arizona <sup>7</sup>	NOTE: previous state fund program sunset 6/30/2010. Recent legislation allows for submittal of "time-barred" claims for reimbursement through December 31, 2016.  New cleanup program (not a financial assurance mechanism) implemented in 2016.  Active State Lead program.		N/A	N/A	17,662 applications (includes 178 "time-barred claims" submitted by December 31, 2016)	2,755	2,755	N/A	\$6.00	\$384.65	N/A	N/A	\$384.65	\$384.65	\$163,000	N/A	2,358	N/A	1	2,102
Arkansas	447	54	41	2,666	2,654	360	360	44	\$3.43	\$86.87	\$1.11	\$13.64	\$4.54	\$100.51	\$241,307	\$309,186	391	1.96	1	7

Based on responses to a survey conducted by the Vermont Department of Environmental Conservation. Updated Summer 2017.

TABLE 3. Level of Activity in State Financial Assurance Funds 2016

State	Total # of sites eligible for reimbursement		Total UST sites where third-party claims have been paid	# of claims received (if tracked)	# of claims processed (if tracked)	Total # of sites where claims have been paid			Approximate Total Amount Paid						Average Cost Per Site		# of closed State Fund Sites	average claims processing time (months)	# of Claims formally Appealed		
	UST sites	AST sites				UST (federally regulated)	Total UST	Total AST	UST Annual (millions)	UST Total cumulative (millions)	AST Annual (millions)	AST Total cumulative (millions)	UST and AST Annual total (millions)	UST and AST cumulative total (millions)	UST	AST			Annual	Cumulative	
California	5,631 (Active/Priority List for FY 16/17)	0	43 (Inception)	20,333 (Inception)	20,118 (Inception)	12,090 (Inception)	12,090 (Inception)	0	\$79.0 as of 2/6/17	3.529 Inception	\$0.00	\$0.00	\$79.0 as of 2/6/17	3.529 Inception	\$350,000	n/a	9,975 (Regulatory cases for claims in Fund closed since Fund inception. An equal number of cases have never accessed the Fund.)	4 months	9	UNK	
Colorado	11,615		3	29,642	28,413	2,507							\$35.78	\$593.50	\$236,737		2,005	0.82	36	906	
Connecticut	1,449	0	364	12,465	10,918	1,155	1,155	0	\$9.00	\$238.40	\$0.00	\$0.00	\$9.00	\$238.40	\$206,397	\$0	approx. 9 mo. processing; payment is made upon eligible payment election		0	103	
Delaware	242	0	3	1,300	1,262	134	134	0	\$0.00	\$24.56	\$0.00	\$0.00	\$1.00	\$24.56	\$183,343	\$0	4 months when fund was active. Sunset 2011		1	1	
District of Columbia	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA

Based on responses to a survey conducted by the Vermont Department of Environmental Conservation. Updated Summer 2017.

TABLE 3. Level of Activity in State Financial Assurance Funds 2016

State	Total # of sites eligible for reimbursement		Total UST sites where third-party claims have been paid	# of claims received (if tracked)	# of claims processed (if tracked)	Total # of sites where claims have been paid			Approximate Total Amount Paid					Average Cost Per Site		# of closed State Fund Sites	average claims processing time (months)	# of Claims formally Appealed		
	UST sites	AST sites				UST (federally regulated)	Total UST	Total AST	UST Annual (millions)	UST Total cumulative (millions)	AST Annual (millions)	AST Total cumulative (millions)	UST and AST Annual total (millions)	UST and AST cumulative total (millions)	UST			AST	Annual	Cumulative
Florida*	14,149 (includes some with ASTs)	3,183 (includes some with USTs)	n/a	96,972 (includes old reimbursement claims and post reimbursement work orders, task assignments & purchase orders)	96,972 (includes old reimbursement claims and post reimbursement work orders, task assignments & purchase orders)	10,858 (split derived by %, do not track by UST/AST)	11,010 (split derived by %, do not track by UST/AST)	2,507 (split derived by %, do not track by UST/AST)	\$87 (split derived by %, do not track by UST/AST)	\$2646 (split derived by %, do not track by UST/AST)	\$20 (split derived by %, do not track by UST/AST)	\$603 (split derived by %, do not track by UST/AST)	\$107.00	\$3,248.00	\$240,327	\$240,527	7,507	1.5 (direct pay invoices for purchase orders)	n/a	n/a
Georgia	2,598	N/A	21 <sup>1</sup>	20,984	22,923	2,571	2,571	N/A	\$18.90	\$451.00	N/A	N/A	\$18.90	\$451 (\$432M/Direct CA Payouts w/ \$18.7M/Admin)	\$175,418	N/A	2,194	2.3	N/A	N/A
Idaho	20	5	unknown	493	493								\$1.28	\$38.60	\$123,795	\$123,795	475	0.5	0	10
Illinois	8,727	N/A	19	42,567	37,966	7,632	7,632	N/A	\$28.12	\$1,166.49	N/A		\$28.12	\$1,166.49	\$168,492	N/A	19,170	3	not tracked	
Indiana	726	N/A	Not Known	2,733	1,818	1,581	N/A	N/A	\$24.52	\$656.46	N/A	N/A	\$24.52	\$656.46	\$375,572 <sup>6</sup>	N/A	97	3	25	Not Known
Iowa	6,020		0			6,059	6,059		\$7.60	\$302.58			\$7.60	\$302.58	\$53,177		5,690	1	3	Not Known
Kansas	9,630	4775	unknown			2,359	2,359	206	\$12.50	\$275.50	\$1.50	\$20.50	\$14.00	\$296.00	\$109,000	\$109,000	1,380	1	0	5

Based on responses to a survey conducted by the Vermont Department of Environmental Conservation. Updated Summer 2017.

**TABLE 3. Level of Activity in State Financial Assurance Funds 2016**

State	Total # of sites eligible for reimbursement		Total UST sites where third-party claims have been paid	# of claims received (if tracked)	# of claims processed (if tracked)	Total # of sites where claims have been paid			Approximate Total Amount Paid						Average Cost Per Site		# of closed State Fund Sites	average claims processing time (months)	# of Claims formally Appealed		
	UST sites	AST sites				UST (federally regulated)	Total UST	Total AST	UST Annual (millions)	UST Total cumulative (millions)	AST Annual (millions)	AST Total cumulative (millions)	UST and AST Annual total (millions)	UST and AST cumulative total (millions)	UST	AST			Annual	Cumulative	
Kentucky	17,525	0	7	53,061	53,061	7,091	7,091	N/A	\$15.60	\$354.59	N/A	N/A	\$15.60	\$354.59	\$77,866	N/A	4,829	0.5	0	*Not reportable	
Louisiana <sup>2</sup>	3540 <sup>3</sup>		17	34,251	34,113	1566	1566		\$12.85	\$357.42			\$12.85	\$357.42	\$221,605		1,241	1.5	62		
Maine	630	3,640	416	N/A	N/A		637	4,066	\$0.35		\$2.30		\$2.65	\$88.35			4,491	1	1		
Maryland	unk	unk		1,664	1,478		1,219						\$2.10	\$26.20							
Massachusetts	2,289	0	8	36,553	35,583	1,993	1,993	0	\$11.20	\$474.00	\$0.00	\$0.00	\$11.20	\$474.00	\$161,164.00	\$0.00	1,905	7.6	94	3,445	
Michigan <sup>4</sup>	6,785	0	0	30	30	14	14	0	\$1.00	\$1.00	\$0.00	\$0.00	\$1.00	\$1.00	To early in program to provide average	N/A	2	0.5	1	1	
Minnesota	18,800		unk	33,800	32,900	unk	12,300							\$5.00	\$437.00	\$35,500		18,200	1.5	5	unk
Mississippi	1,178	0	2	22,624	22,624	1,178	1,178	0	\$6.29	\$182.40			\$6.29	\$182.40	\$160,400		965	1	0	2	
Missouri	5,369	409	59	20,408	20,092	2,455	2,455	224	\$11.30	\$231.70	\$2.40	\$32.50	\$13.70	\$264.10	\$94,363	\$145,474	2,029	1.25	34	785	
Montana	1,619	251	40	31,815	31,451	unknown	1,483	241	\$3.73	\$99.49	\$0.82	\$24.16	\$4.32	\$120.89	\$67,089	\$99,847	1,190	1	unknown	unknown	

Based on responses to a survey conducted by the Vermont Department of Environmental Conservation. Updated Summer 2017.

TABLE 3. Level of Activity in State Financial Assurance Funds 2016

State	Total # of sites eligible for reimbursement		Total UST sites where third-party claims have been paid	# of claims received (if tracked)	# of claims processed (if tracked)	Total # of sites where claims have been paid			Approximate Total Amount Paid						Average Cost Per Site		# of closed State Fund Sites	average claims processing time (months)	# of Claims formally Appealed		
	UST sites	AST sites				UST (federally regulated)	Total UST	Total AST	UST Annual (millions)	UST Total cumulative (millions)	AST Annual (millions)	AST Total cumulative (millions)	UST and AST Annual total (millions)	UST and AST cumulative total (millions)	UST	AST			Annual	Cumulative	
Nebraska	6,074	1,157	2	13,574	13,496	1,405	1,479	136	\$3.60	\$120.00	\$1.10	\$24.20	\$4.70	\$144.20	\$81,190	\$177,662	1,266	1.5	0	15	
Nevada	1,122	167	0	437	437	132 total UST/AST							\$7.90	\$202.70			15	2	0		
New Hampshire	1,730	2,071	26	36,996	36,878		1,397	2,021	\$9.10	\$219.40	\$1.80	\$60.00	\$10.90	\$279.40	\$159,100	\$29,688	3,129	1.1	1	average is <1 per year	
New Jersey	Not Tracked	Not Tracked	Not Tracked	320	1,176	958	16,383	n/a	\$12.70	\$254.30	n/a	n/a	\$12.70	\$254.30	\$23k (for Unreg) \$200k - \$500k (for Reg)	n/a	1,203	Unregulated: 1-2 Years Newly Discovered Regulated USTs: 3-4 Months	0	0	
New Mexico*	654	38	0	1,135	1,120	unknown	unknown	unknown	unknown	unknown	unknown	unknown	\$11.65	\$279.64	\$146,003.98 both UST and AST		16 in FY15	27 days (allowed up to 60 days)	0	0	
New York*	New York does not reimburse for the use of the Fund. All funds expended are required to be recovered from the Responsible Party					N/A									\$252,955						

Based on responses to a survey conducted by the Vermont Department of Environmental Conservation. Updated Summer 2017.

TABLE 3. Level of Activity in State Financial Assurance Funds 2016

State	Total # of sites eligible for reimbursement		Total UST sites where third-party claims have been paid	# of claims received (if tracked)	# of claims processed (if tracked)	Total # of sites where claims have been paid			Approximate Total Amount Paid						Average Cost Per Site		# of closed State Fund Sites	average claims processing time (months)	# of Claims formally Appealed		
	UST sites	AST sites				UST (federally regulated)	Total UST	Total AST	UST Annual (millions)	UST Total cumulative (millions)	AST Annual (millions)	AST Total cumulative (millions)	UST and AST Annual total (millions)	UST and AST cumulative total (millions)	UST	AST			Annual	Cumulative	
North Carolina	19,360 reg; 9,925 non reg.	N/A	~500	44,245 Comm; 15,624 Noncomm (Ended 12/31/16)	40,200 Comm; 15,445 Noncomm (Ended 12/31/16)	24,886	26,883	N/A	\$25 - \$33 Comm; \$5 - 6 Noncomm (Ended 12/31/16)	\$727.5 Comm; \$161.6 noncomm (Ended 12/31/16)	N/A	N/A	\$25 - \$25 Comm; \$5 - 6 Noncomm (Ended 12/31/16)	\$692.5 Comm; \$161.3 Noncomm (Ended 12/31/16)	Currently (FY16): ~\$153,497 Comm; ~\$14,000 Noncomm (Ended 12/21/16)	N/A	21,814	½ - 2.½	~80 - 100	~1,000	
North Dakota	710	1061	3			755 for USTs & ASTs -Do not separate							\$0.66	\$14.16	\$60,800 (includes ASTs)	Not tracked seperately	6	.5 month	0	3	
Ohio	3,056	N/A	9	17,770	15,996	3,184	3,184	N/A	\$7.50	\$238.56	N/A	N/A	\$7.50	\$238.56	\$109,431	N/A	2,377	5	20	781	
Oklahoma	5,127	291	3,735 (we do not break them out by UST & AST)	52,175	52,175	We don't break out federally regulated sites		3,399	240	\$17.38	\$433.14	\$2.02	\$17.93	\$19.40	\$451.07	\$127,431	\$74,697	5,213	0.264	not tracked	not tracked
Pennsylvania	929	0	2	181	181		65		\$34.20	\$1,046.53	\$0.00	\$0.00	\$34.20	\$1,046.53	\$365,520	\$0	3,182	4.88	16	187	
Rhode Island	567	0	7	2,806	2,806	250	250	0	\$825,096.00	\$60,000,000	\$0.00	\$0.00			\$248,498	\$0	130	3	0	3	

Based on responses to a survey conducted by the Vermont Department of Environmental Conservation. Updated Summer 2017.

TABLE 3. Level of Activity in State Financial Assurance Funds 2016

State	Total # of sites eligible for reimbursement		Total UST sites where third-party claims have been paid	# of claims received (if tracked)	# of claims processed (if tracked)	Total # of sites where claims have been paid			Approximate Total Amount Paid					Average Cost Per Site		# of closed State Fund Sites	average claims processing time (months)	# of Claims formally Appealed		
	UST sites	AST sites				UST (federally regulated)	Total UST	Total AST	UST Annual (millions)	UST Total cumulative (millions)	AST Annual (millions)	AST Total cumulative (millions)	UST and AST Annual total (millions)	UST and AST cumulative total (millions)	UST			AST	Annual	Cumulative
South Carolina	2,234 releases	0	18	2,370	2,370	5,256	4,926	0	\$20.93	\$425.26	\$0.00	\$0.00	\$20.93	\$425.26	\$88,308 FY	\$0	125 FY	0.6	0 fund qualification denials	~ 5 fund qualification denial appeals
South Dakota	Not Tracked	Not Tracked	5	17,431	17,430	Not Tracked	Not Tracked	Not Tracked					\$0.60	\$87.97	Not Tracked	Not Tracked	7,285	0.2	0	196
Tennessee	4,294	N/A	0	53,365	52,761	102	4,192	N/A	\$12.90	\$408.00	N/A	N/A	\$12.90	\$408.00	\$104,000	N/A	3,498	1	10	3,825
Texas	0	0	0	unknown	unknown	343 UST & AST sites in FY16			not tracked	not tracked	not tracked	not tracked	\$12.50	unknown	\$36,000 in FY16		21,172	<1	unknown	unknown
Utah	985	2	3	10,393	10,393	660	660	0	\$6.30	\$116.00	\$0.00	\$0.00	\$6.30	\$116.00	\$150,398	NA	1,728	1	1	1
Vermont	3240	2805	234			1,324	2,055	784	\$2.78	\$106.54	\$0.45	\$8.37	\$3.23	\$114.91	\$65,955	\$11,632	976	1	1	35
Virginia	5,955	4,003	4	52,092	49,978	3,355	11,502	9,073	\$16.74	\$384.70	\$7.47	\$133.38	\$24.21	\$518.08	\$33,446	\$14,701	21,646	8.9	0	12
Washington	525		unk	344	344	344			\$3.37	\$48.57	\$0.00	\$0.00	\$3.37	\$48.57	\$141,192	\$0	467		N/A	N/A
Washington-heating oil only	3,918	96	125	3,578	3,578		3,506	72	\$7.60	\$49.50	\$0.20	\$8.12	\$7.80	\$50.25	\$12,636	\$8,465	3,691		0	8
West Virginia <sup>5</sup>		N/A	N/A	N/A	N/A	N/A	N/A	N/A	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$15.00	\$0	\$0	N/A	N/A	N/A	N/A

Based on responses to a survey conducted by the Vermont Department of Environmental Conservation. Updated Summer 2017.

**TABLE 3. Level of Activity in State Financial Assurance Funds 2016**

State	Total # of sites eligible for reimbursement		Total UST sites where third-party claims have been paid	# of claims received (if tracked)	# of claims processed (if tracked)	Total # of sites where claims have been paid			Approximate Total Amount Paid					Average Cost Per Site		# of closed State Fund Sites	average claims processing time (months)	# of Claims formally Appealed		
	UST sites	AST sites				UST (federally regulated)	Total UST	Total AST	UST Annual (millions)	UST Total cumulative (millions)	AST Annual (millions)	AST Total cumulative (millions)	UST and AST Annual total (millions)	UST and AST cumulative total (millions)	UST			AST	Annual	Cumulative
Wisconsin*	750	50	unk	unknown	unknown	10,000	10,000	1,000	\$3.50	\$1,340.00	\$0.50	\$151.00	\$4.00	\$1,491.00	\$129,000	\$160,000	n/a	5	10	unk
Wyoming	389 unresolved sites in program; we don't reimburse. State pays for cleanup.	included in 389 number	0										\$9.20	\$194.90	don't track	don't track	1,231	1.5	N/A	N/A
<b>Totals:</b>	<b>174,150</b>	<b>22,815</b>	<b>5,766</b>	<b>852,194</b>	<b>834,045</b>	<b>114,506</b>	<b>163,298</b>	<b>22,964</b>	<b>\$568.64</b>	<b>\$18.13</b>	<b>\$42.87</b>	<b>\$1,105.81</b>	<b>\$1,076.75</b>	<b>\$21,134.37</b>	<b>\$155,245</b>	<b>\$111,496</b>	<b>188,678</b>	<b>2.23</b>	<b>425</b>	<b>13,698</b>

\*No updated response received for 2016 survey.

<sup>1</sup>GA - Total number of third party claims provided but unable to confirm which have been settled based on database codes

<sup>2</sup>LA: Sites with total payments greater than zero.

<sup>3</sup>LA: Number of sites with a confirmed UST release and were Non-Federal or State tanks.

<sup>4</sup>MI 2015 Update: Data provided is for new reimbursement fund created on December 30, 2014.

<sup>5</sup>WV: No change to information for WV. WV does not have a state fund - the state insurance fund expended all remaining funds in 2003

<sup>6</sup>Indiana -- Sites that were completed in 1 to 10 years had an average cost of \$136,555 and sites that were completed within 11-30 years had an average cost of \$505,625.

<sup>7</sup>AZ: funding amounts appear lower than previous year based on improved data tracking.

**Additional Information:**

Washington, DC does not administer a reimbursable state fund program, only state lead cleanup for emergency response, site assessments and corrective action and cost recovery from solvent RPs.

**UST sites:** Total number of identified sites eligible for Fund reimbursement

**AST sites:** Total number of identified sites eligible for Fund reimbursement

**Total # of sites where claims have been paid:** Any request for reimbursement or payment from a fund. Some states allow for claims to be filed on an ongoing basis during site cleanup, while others require claims to be filed only after certain milestones are reached - e.g. site investigation completed claim or site cleanup claim. For this survey, any request for payment is considered a claim and includes both cleanup and third party claims. Please put unknown if your state does not track individual claims.



**TABLE 3. Level of Activity in State Financial Assurance Funds 2016**

State	Total # of sites eligible for reimbursement		Total UST sites where third-party claims have been paid	# of claims received (if tracked)	# of claims processed (if tracked)	Total # of sites where claims have been paid			Approximate Total Amount Paid						Average Cost Per Site		# of closed State Fund Sites	average claims processing time (months)	# of Claims formally Appealed	
	UST sites	AST sites				UST (federally regulated)	Total UST	Total AST	UST Annual (millions)	UST Total cumulative (millions)	AST Annual (millions)	AST Total cumulative (millions)	UST and AST Annual total (millions)	UST and AST cumulative total (millions)	UST	AST			Annual	Cumulative

**# of claims received:** a claim is any request for reimbursement or payment from a fund. Some states allow for claims to be filed on an ongoing basis during site cleanup, while others require claims to be filed only after certain milestones are reached - e.g. site investigation completed claim or site cleanup claim. For this survey, any request for payment is considered a claim and includes both cleanup and third party claims. Please put unknown if your state does not track individual claims.

**Average cost/site:** The total funds expended on federally regulated UST sites divided by the total number of UST sites where there were expenditures. This is not a measure of the average cost per site at closure - closure means the point when a site has been cleaned up to the state's criteria, and not tank closure.