

TABLE 4. COST CONTROL MEASURES AND MANAGEMENT PRACTICES USED BY STATE FINANCIAL ASSURANCE FUNDS

| State | Use Standard Forms for Site Assessment and Corrective Action Plans | Approve Cleanup Plans and/or Budget Prior to Implementation (preapproval) | Specify Cleanup Plans | Requires Competitive Bidding | | Payment Limits for Site Assessment/ Receptor Assessment | Certify Contractors or Set Contractor Qualification Requirements | Limit Types of Activities at Sites | Limit Extent or Amounts of Allowed Activities | Limit Overhead Paid | Require Use of a Fee Schedule | Oversee Cleanups | Use Pay-For-Performance | Prioritize Claims to Conserve Funds | Employ a Third Party Administrator | Employ a Board to Oversee Fund Activities |
|-------|--|---|-----------------------|------------------------------|-------------------------|---|--|------------------------------------|---|---------------------|-------------------------------|------------------|-------------------------|-------------------------------------|------------------------------------|---|
| | | | | Tank Owner | State as Agent of Owner | | | | | | | | | | | |
| AK | | | | | | | | | | | | | | | | |
| AL | x | x | | Highly recommended | | x | x | x | x | x | x | x | | | | x |
| AR | | x | | | | allowable reasonable necessary | | | x | x | x | x | | | | x |
| AZ | | Pre-approval is an option | | | x | x | x | x | x | x | x | | | | | |
| CA | | x | | x | | | x | x | | x | x | x | x | | | |
| CO | x | x | | | | x | x | x | x | x | x | x | | | | x |
| CT | | x | | x | | reasonable costs | | x | | | | | | | | x |
| DE | | x | | | | | x | x | x | x | | x | | | | x |
| FL | x | x | | | x | x | x | x | x | x | x | x | x | x | | |
| GA | x | x | | | | reasonable costs | | x | x | x | x | x | x | x | | |
| IA | x | x | x | x | x | | x | x | x | x | | x | x | | x | x |
| ID | x | x | x | | | | x | | | x | | | | | | x |
| IL | x | x | | | | | | x | x | x | x | | | x | | |
| IN | | x | x | x | x | x | | x | x | x | x | | | x | x | x |
| KS | x | x | x | x | x | x | x | x | x | | | x | x | prioritize work | x | |
| KY | x | x | | | | reasonable costs | x | | | x | x | x | x | x | | |

Based on a survey conducted by the Vermont Department of Environmental Conservation. Data received from all States with Financial Assurance Funds. Updated June 2006.

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| | | | | Tank Owner | State as Agent of Owner | | | | | | | | | | | |
| LA | x | x | | x RAC obtains bids for certain activities | x Abandoned Tank Fund Only | x | x | x | x | x | x | x | | | | x |
| MA | | | | | | x | | x | x | x | x | | | | x | x |
| MD | | x | x | | | x | | x | x | | | x | | x | | |
| ME | x | x | x | | | | | x | x | x | | x | | x | | x |
| MI | x ^A | | x ^A | | x ^A | x ^A | x ^A | x ^A | x ^A | x ^A | x ^A | | | | x ^A | x ^A |
| MN | x | active rem. only | | x | | x | | | x | x | x | x | | | | x |
| MO | | x | | x | | | | x | x | x | | x | x | | x | x |
| MS | x | x | x | x | | x | x | x | x | x | x | x | | | | x |
| MT | | x | x | | | | | | | | x | | | | | x |
| NC | | x | | x | | x | | x | x | x | x | | x | | | x |
| ND | x | x | x | x | | x | | x | x | x | | x | | | | |
| NE | x | x | | optional | PFP contracts are bid | | other NE agencies certifications required. | x | x | x | x | x | x | x | | |
| NH | x | x | x | x | | x | Consultant must be PE or PG, no requirements for contractors | x | x | x | standard costs | x | x | x | | x |

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| | | | | Tank Owner | State as Agent of Owner | | | | | | | | | | | |
| NJ | | x | | | | x | x | x | x | | x | x | | | | |
| NM | | x | | x | x | | x | x | | | x | x | x | | | x |
| NV | | x | | x | | | x | | x | x | | | | | | x |
| NY | x | x | x | | | | | | | x | x | x | | | x | |
| OH | | x | | | | | | | x | x | x | | | | | x |
| OK | x | x | | | | x | x | | x | x | x | x | x | x | | |
| PA | | on occasion | | option of the Fund | | | | | | x | | x | x | | x | x |
| RI | | | | | | | | | | x | | | | | | x |
| SC | x | x | | x | x | x | x | x | x | x | | x | x | x | | |
| SD | | x | x | option of the Fund | | x | x | x | x | | x | x | | | | x |
| TN | x | x | x | sometimes | | x | x | x | x | x | x | x | | | | x |
| TX | x | x | x | | x | x | x | x | x | x | x | x | | | x | |
| UT | | x | | x | x | | x | x | x | x | x | x | x | x | | x |
| VA | x | x | x | x | | x | | x | x | x | x | x | | | x | |
| VT | | x | x | sometimes | | x | | x | x | x | x | x | x | x | | |
| WA ^A | x | x | x | | | x | x | x | x | x | x | x | | | private insurance | |
| WA ^B | x | x | x | | | x | x | x | x | x | x | x | | | | |

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| | | | | Tank Owner | State as Agent of Owner | | | | | | | | | | | |
| WI | x | x | x | x | | x | x | x | x | x | x | x | x | | | |
| WV | | x | x | x | | | | x | | | | x | | | | x |
| WY | x | x | x | | x | | x | x | x | x | x | x | | x | | |
| TOTAL | 27 | 44 | 22 | 25 | 12 | 30 | 28 | 37 | 39 | 39 | 33 | 36 | 17 | 15 | 10 | 27 |

^A Information refers to the old MI fund which has been insolvent since June 1995. A new fund is being created, which is referred to in Table 5.